

1 **METHODS AND APPARATUS FOR PRESBYOPIA CORRECTION USING**  
2 **ULTRAVIOLET AND INFRARED LASERS**

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4 This is a **Continuation-in-part** of application Ser. No. 09/303,673 filed on May 3, 1999.  
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7 **BACKGROUND OF THE INVENTION**  
8

9 1. Field of the Invention

10 The present invention relates to apparatus and methods for the treatment of presbyopia using ultraviolet  
11 and infrared lasers to ablate the sclera tissue of an eye.

12 2. Prior Art

13 Corneal reshaping, including a procedure called photorefractive keratectomy (PRK) and a new  
14 procedure called laser assisted in situ keratomileusis, or laser intrastroma keratomileusis (LASIK), has  
15 been performed by lasers in the ultraviolet (UV) wavelength of 193 - 213 nm. Commercial UV refractive  
16 lasers include ArF excimer lasers at 193 nm and other non-excimer, solid-state lasers, such as the one  
17 patented by the present inventor in 1992 (U.S. Patent No. 5,144,630). Precise, stable corneal reshaping  
18 requires lasers with strong tissue absorption (or minimum penetration depth) such that the thermal  
19 damage zone is at a minimum (less than few microns). Furthermore, accuracy of the procedure of vision  
20 correction depends on the amount of tissue removed in each laser pulse, in the order of about 0.2  
21 microns. Therefore, lasers at UV wavelengths between 193 and 213 nm and at the mid-infrared  
22 wavelengths between 2.8 and 3.2 microns are two attractive wavelength ranges which match the  
23 absorption peak of protein and water, respectively.

24 The above-described prior arts are however limited to the use of reshaping the corneal surface  
25 curvature for the correction of myopia, astigmatism and hyperopia. When a person reaches a certain age  
26 (around 45), the eyes start to lose their capability to focus for near vision and becomes presbyopia.  
27 Presbyopic problem is not due to the cornea curvature but comes about as the lens loses its ability to  
28 accommodate or focus for near vision as a result of loss of elasticity that is inevitable as people age.  
29 Therefore the existing lasers using corneal reshaping can not provide the solution for presbyopia patients.  
30 In addition, corneal reshaping is ablating the central portion of the cornea and change its curvature.

31 To correct presbyopia, the present patent uses a "cold" laser to remove sclera tissue (outside the  
32 limbus area) versus a "thermal" lasers in Sand's patent (Pat. No. 5,484,432) to shrink the corneal shape  
33 (inside the limbus area). The cold laser of the present has a wavelength range of (0.15-0.36) microns and ✓  
34 (2.6-3.2) microns which are also different from that of the "thermal" laser range of (1.80-2.55) microns  
35 proposed by Sand.

1 ~~As a~~ The prior arts of Ruitz (US Pat. No. 5,533,997) and Lin (US Pat. No. 5,520,679) are all limited to  
2 the corneal central portion and are designed to change the curvature of the cornea by ablation the surface  
3 layer of the cornea. The present patent, on the contrary, does not change the corneal central curvature  
4 and only ablating tissue outside the limbus.

5 The technique used in the prior art of Bille (US Pat. No. 4,907,586) is specified to below  
6 conditions: (a) quasi- continuous laser having pulse duration less than 10 picoseconds and focused spot  
7 less than 10 micron diameter ; (b) the laser is confined to the interior of a selected tissue to correct  
8 myopia, hyperopia or astigmatism, and (c) the laser is focused into the lens of an eye to prevent  
9 presbyopia. He also proposed to use laser to create a cavity within the corneal stroma to change its visco-  
10 elastic properties.

11 ~~As a~~ The "presbyopia" correction proposed by Ruitz using an excimer (ArF) laser also required the  
12 corneal surface to be reshaped to form "multifocal" effort for a presbyopia <sup>patients</sup> ~~patients~~ to see near and far.

13 However, Ruitz's "presbyopia" correction is fundamentally different from that of the present patent which  
14 does not change the corneal curvature and only ablate the scleral tissue outside the limbus area. In the  
15 present patent, we propose that the presbyopia ~~patient~~ is corrected by increasing patient's accommodation  
16 rather than reshaping the cornea into "multifocal".

17 To treat presbyopic patients, or the reversal of presbyopia, using the concept of expanding the  
18 sclera by mechanical devices or implantation of a band has been proposed by Schachar in U.S. patents  
19 5,489,299, 5,722,952, 5,465,737 and 5,354,331. These mechanical approaches have the drawbacks of  
20 complexity and are time consuming, costly and have potential side effects. To treat presbyopia, the  
21 Schachar patents Nos. 5,529,076 and 5,722,952 propose the use of heat or radiation on the corneal  
22 epithelium to arrest the growth of the crystalline lens and also propose the use of lasers to ablate portions  
23 of the thickness of the sclera. However, these prior arts do not present any details or practical methods or  
24 laser parameters for the presbyopic corrections. No clinical studies have been practiced to show the  
25 effectiveness of the proposed concepts. The concepts proposed in the Schachar patents regarding lasers  
26 suitable for ablating the sclera tissues were incorrect because many of his proposed lasers are thermal  
27 lasers which will cause thermal burning of the cornea, rather than tissue ablation. Furthermore, the clinical  
28 issues, such as locations, patterns and depth of the sclera tissue removal were not indicated in these prior  
29 patents. In addition, Schachar's methods also require the weakening of the sclera and increase the lens  
30 diameter by band expansion, which is different from the theory proposed in the present patent, where the  
31 sclera tissue becomes more flexible than weakening after laser ablation.

32 Another prior art proposed by Spencer Thornton, Chapter 4, "Survey for hyperopia and  
33 presbyopia", edited by Neal Sher (Williams & Wilkins, MD, 1997) is to use a diamond knife to incise radial  
34 cuts around the limbus areas. It requires a deep (90%-98%) cut of the sclera tissue in order to obtain  
35 accommodation of the lens. This method, however, involves a lot of bleeding and is difficult to control the  
36 depth of the cut which requires extensive surgeon's skill. Another major drawback for presbyopia  
37 correction provided by the above-described non-laser methods is the post-operative regression of about

1 (30%-80%) caused by the healing of the "incision" gap. And this regression is minimum in the laser  
2 "excision" or "ablation" method proposed in the present invention.

3 The important concept proposed in the present invention is to support the present inventor's post-  
4 operative results which show minimum regression. We proposed a theory based upon the fact that the  
5 laser ablated sclera tissue "gap" will be filled in by the sub-conjunctiva tissue within few days after the  
6 surgery. This filled in sub-conjunctiva tissue is much more flexible than the original sclera tissue. Therefore  
7 the filled-in gap in the sclera area will cause the underlying ciliary body to have more space to move. This in  
8 turn will allow the ciliary body to contract or expand the zonular fiber which is connected to the lens, when  
9 the presbyopic patient is adjusting his lens curvature to see near and far. The above described sub-  
10 conjunctiva tissue filling effects and the increase of "flexibility" of the sclera area are fundamentally  
11 different from the scleral "expansion"(or weakening) concept proposed by the prior arts of Schachar who  
12 proposed an implanted scleral band. In the present invention, the laser ablated sclera area is not  
13 weakening, it becomes more flexible instead.

14 Therefore one objective of the present invention is to provide an apparatus and method to obviate  
15 these drawbacks in the above described prior arts.

16 ~~It is yet another objective of the present invention to use a scanning device such that the degree of~~  
17 ~~ciliary muscle accommodation can be controlled by the location, size and shapes of the removed sclera~~  
18 ~~tissue.~~

19 It is yet another objective of the present invention to define the non-thermal lasers for efficient  
20 tissue ablation.

21 ~~It is yet another objective of the present invention to define the optimal laser parameters and the~~  
22 ~~ablation patterns for best clinical outcome for presbyopia patients, where sclera ablation will increase the~~  
23 ~~accommodation of the ciliary muscle by the increase of the flexibility in the laser-ablated areas.~~

24 It is yet another objective of the present invention to provide the appropriate scanning patterns  
25 which will cause effective ciliary body contraction and expansion on the zonules and the corneal lens  
26 based upon a theory different from the prior arts.

27 ~~It is yet another objective of the present invention to provide a new mechanism which supports the~~  
28 ~~clinical results of laser presbyopia correction with minimum regression. One important concept proposed~~  
29 ~~in the present invention is to support the post-operative results which show minimum regression when~~  
30 ~~presbyopia is corrected by a laser ablation for the sclera tissue. We proposed that the laser ablated~~  
31 ~~sclera tissue "gap" is filled in by the sub-conjunctiva tissue within few days after the surgery. This filled-in~~  
32 ~~sub-conjunctiva tissue is much more flexible than the original sclera tissue. Therefore the flexible filled-in~~  
33 ~~gap in the sclera area will allow the ciliary body to contract and cause the zonular fiber and the corneal~~  
34 ~~lens to adjust its focusing power and increase the accommodation of presbyopic patient.~~

35 The concept presented in the present patent is to remove, by any methods including laser or non-  
36 laser methods, portion of the sclera tissue which is then filled in by sub-conjunctiva tissue to increase the

1 flexibility of the scleral area and in turn causes the movement of the ciliary body and zonular fiber to  
2 increase the lens accommodation.

### 4 SUMMARY OF THE INVENTION

6 The preferred embodiments of the present surgical laser consists of a combination of an ablative-  
7 type laser and delivery unit. The ablative-type laser has a wavelength range of from 0.15 to 0.35 microns  
8 and from 2.6 to 3.2 microns and is operated in a pulsed mode such that the thermal damage of the  
9 corneal tissue is minimized.

10 It is yet another preferred embodiment of the present surgical laser to provide a scanning  
11 mechanism to effectively ablate the sclera tissue at a controlled depth by beam overlapping or by  
12 controlling the number of laser pulses acting on the sclera.

13 It is yet another embodiment of the present surgical laser to provide an integration system in which  
14 the ablative laser may be delivered by a scanner or by a fiber-coupled device which can be manually  
15 scanned over the cornea.

16 It is yet another embodiment of the present surgical laser to focus the laser beams to generate the  
17 sclera ablation patterns in radial lines, curved lines, dotted rings, or a slit pattern.

18 It is yet another embodiment of the present surgical laser to provide an integration system in which  
19 the sclera ablation leads to the increase of the accommodation of the ciliary muscle for the treatment of  
20 presbyopia.

21 Further preferred embodiments of the present surgical laser will become apparent from the  
22 description of the invention which follows.

### 24 BRIEF DESCRIPTION OF THE DRAWINGS

26 Figure 1 is the schematic drawing of the anteroposterior section through the anterior portion of a  
27 human eye showing the sclera, ciliary muscle, zonule and the lens.

28 ~~Figure 2 is a schematics of scleral ablation area outside the limbus.~~

29 ~~Figure 3 is a schematics of the structure of corneal including conjunctiva, sub-conjunctiva and~~  
30 ~~sclera area ablated by laser.~~

### 32 DETAILED DESCRIPTION OF THE INVENTION AND THE PREFERRED EMBODIMENTS

34 ~~Figure 1 shows the lens of a human eye 12 connected to the sclera tissue 13 and the ciliary body~~  
35 ~~14 by zonule fibers 15. The lens power is given by contraction and expansion of the ciliary muscle 14 and~~  
36 ~~the movement of the zonular fiber 15 connected to the lens 12.~~

1 Figure 2 shows the laser ablated sclera area outside the limbus 16 defined by the area between  
2 two circles, 17 and 18, having diameter of about 10 mm and 18 mm. Various ablation patterns within  
3 these two circle area are proposed in the present invention.  
4

5 Based on the proposed theory of the present invention and as shown in Figure 3, when a portion  
6 of the sclera tissue 13 is removed by an ablative laser, this ablated "gap" 19 will be filled in by the sub-  
7 conjunctiva tissue 21 which is much more flexible than the original sclera tissue 13. This filled in sub-  
8 conjunctiva 21 will allow the ciliary body 14 to contract or relax the zonular fiber 15 which is connected to  
9 the lens, when the presbyopic patient is adjusting his lens curvature to see near and far. Ablation of the  
10 sclera 13 will cause the ciliary body 14 to contract and the lens 12 becomes more spherical in topography  
11 with a shorter radii of curvature for near objects. The reversed process of ciliary muscle relaxation will  
12 cause a longer radii of curvature for distant objects. Therefore, laser ablation of the sclera tissue will  
13 increase the accommodation of the ciliary body for the presbyopic patient to see both near and distance.  
14 Typically, we open the conjunctiva tissue 20 first and then ablate the sclera tissue 13. The conjunctiva 20  
15 and sub-conjunctiva 21 layers may be remove mechanically by the same laser used for scleral ablation.

16 For efficient accommodation, the depth of the laser ablation needs to be approximately (60% -  
17 90%) of the sclera thickness which is about (500 - 700) microns. For safety reasons, the ablation depth  
18 should not cut through the choroid. It is therefore clinically important that the patient's sclera thickness be  
19 measured pre-operatively and the laser ablation depth controlled. A scanning laser is used to control this  
20 depth by the number of scanning lines or pulses over the selected area at a given set of laser parameters.  
21 Alternatively, the surgeon may observe the color change of the ablated sclera tissue to determine when  
22 the ablation depth reaches the interface of the sclera and the ciliary.

23 The ablation patterns can be any symmetric shapes around the limbus area, including radial lines,  
24 arc or curved line, dotted rings. These are examples only but it can be more or less without departing from  
25 the spirit and scope of the invention. Enhancement may be performed by adding more ablation lines. The  
26 preferred embodiment of the beam spot sizes are about (0.1-2.0) mm on the cornea surface for a round  
27 beam and about (0.1-2.0) mm in width and (2.0-5.0) mm in length for a line-spot. These round and slit  
28 spots may be generated by a focusing spherical and a cylinder lens. These beam spots may also be  
29 generated by a "mask" which blocks the laser beam and produce the desired patterns on the cornea  
30 surface. The mask shall be made by non-transparent materials at the laser wavelength used for sclera  
31 ablation.

32 Ablation patterns described above may be generated by the preferred embodiment of the present  
33 system including a computer-controlled galvanometer, fiber-coupled hand piece (using a manual scan),  
34 motorized mirrors, refractive optics, reflecting mirror and any a translation device. A mask having various  
35 "holes" or "slits" may also be used to generate various patterns proposed in the present invention.

1 We are able to calibrate the ablation rate of various lasers on the sclera tissue by comparing the  
2 clinical data. To avoid the post-operative regression, the sclera tissue is permanently removed by the  
3 ablative lasers and filled in by the sub-conjunctiva tissues.

4 The preferred embodiment of the laser in the proposed system includes an ablative laser such as  
5 a Er:YAG laser; Er:YSGG laser; an optical parametric oscillation (OPO) laser at (2.6-3.2) microns; a gas  
6 laser with a wavelength of (2.6-3.2) microns; an excimer laser of ArF at 193 nm; a XeCl excimer laser at  
7 308 nm; a frequency-shifted solid state laser at (0.15 – 3.2) microns; the harmonic generation of Nd:YAG  
8 or Nd:YLF or Ti:sapphire laser at wavelength of about (190-220) nm; a CO laser at about 6.0 microns and  
9 a carbon dioxide laser at 10.6 microns; a diode laser at (0.8-2.1) microns, or any other gas or solid state  
10 lasers including flash-lamp and diode-laser pumped, at (0.5-6.0) microns spectra range. To achieve the  
11 ablation of the sclera tissue at the preferred laser spot size of (0.1-2.0) mm requires an ablative laser  
12 energy per pulse of about (0.1-30) mJ depending on the pulse duration and the laser beam spot size.

13 For a typical pulse laser width of 100 nanoseconds to 500 microseconds, the preferred  
14 embodiments of Fig. 1 shall require the ablative laser to meet the peaks of tissue absorption spectra such  
15 as 0.98, 1.5, 2.1, 2.94 and 6.0 microns. However, for the case of lasers with a very short pulse of about  
16 from 1 femtosecond to 100 picoseconds, the laser wavelength becomes non-critical in the tissue  
17 interaction and the high peak laser intensity with small laser spot are more important. Therefore, The  
18 preferred embodiment of the laser should also include the short pulse lasers having wavelength of about  
19 (0.5 - 1.4) microns, such as Nd:YAG or Nd:YLF laser and their second harmonics operated in the range of  
20 picosecond or femtosecond pulse width. These short pulse lasers shall be able to remove sclera tissue  
21 and conjunctiva tissue easily by focusing the laser beam on the surface of the tissue to be removed.  
22 Another preferred embodiment of the present laser system is to tightly focused underneath the conjunctiva  
23 layer and selectively ablate the sclera tissue without damage or removing the conjunctiva tissue. Focused  
24 spot size of about (1-500) microns and accurate laser position of the depth will be needed for the  
25 procedure. We noted that the tissue reaction is not critical to the wavelength when the laser highly focused  
26 and achieve a high fluency level such that tissue can be removed by interruption process. Another  
27 preferred embodiment is to use an optical fiber or an articulate arm to deliver the ablative laser beams  
28 such that the presbyopia treatment may be conducted manually without the need of a scanner or reflecting  
29 mirrors. For the fiber delivered system, a fiber tip connected to the fiber hand piece is preferred such that  
30 sterilization may be done only on the fiber tip.

31 The concept presented in the present patent is to remove, by any methods laser or non-laser,  
32 portion of the sclera tissue which is filled in by sub-conjunctiva tissue to increase the flexibility of the scleral  
33 area and in turn causes the zonular fiber to increase the lens accommodation. Therefore the laser ablation  
34 effects on the scleral tissue may also be conducted by any non-laser methods such as using a diamond  
35 knife which removes the scleral tissue at a width about (0.5-2.0) mm and length of (2.0-4.0) mm, as far as  
36 this area can be filled in by the sub-conjunctiva tissue.

1 Another important concept proposed in the present invention is to support the post-operative  
2 results which show minimum regression. We proposed that the laser ablated sclera tissue "gap" will be  
3 filled in by the sub-conjunctiva tissue within few days after the surgery. This filled in sub-conjunctiva tissue  
4 is much more flexible than the original sclera tissue. Therefore the filled-in gap in the sclera area will  
5 cause the underlying ciliary body to contract or expand the zonular fiber and the lens when the presbyopic  
6 patient is adjusting the corneal lens power to see near and far.

7 To remove the sclera tissue, we typically open the conjunctiva first such that the underlying laser  
8 ablated area may be protected by the conjunctiva during the healing period. The preferred embodiment is  
9 to use mechanical method such as a knife or a scissors. Alternatively, the same ablative laser for sclera  
10 tissue ablation may be used to open (ablate) the conjunctiva. Another preferred embodiment is to couple  
11 the laser to a fiber which has a fiber tip having a size about (0.2-0.5) mm and can easily penetrate into the  
12 conjunctiva layer and ablate the sclera tissue underneath. Without opening the conjunctiva, the laser  
13 ablation procedure will be much less invasive to the cornea, because most of the bleeding during the  
14 procedure is caused by cutting the conjunctiva.

15 The invention having now been fully described, it should be understood that it may be embodied in  
16 other specific forms or variations without departing from the spirit or essential characteristics of the  
17 present invention. Accordingly, the embodiments described herein are to be considered to be illustrative  
18 and not restrictive.